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ARTICLE

Assessing receptivity in the endometrium: the need for a rapid, non-invasive test

⁵ Tracey A Edgell ^a, Luk JF Rombauts ^{b,c}, Lois A Salamonsen ^{a,b,*}

⁶ ^a Prince Henry's Institute of Medical Research, P.O. Box 5152, Clayton, Victoria 3168, Australia; ^b Department of

Obstetrics and Gynaecology, Monash University, Clayton, Victoria 3168, Australia; ^c Monash IVF, Clayton, Victoria
 3168, Australia

8 3108, Australia

⁹Q1 * Corresponding author. *E-mail addresses:* tracey.edgell@princehenrys.org (TA Edgell), luk.rombauts@monash.edu (LJF Rombauts),

10 lois.salamonsen@princehenrys.org (LA Salamonsen).



Professor Lois Salamonsen, PhD, FRANZCOG (Hon), is a Senior Principal Research Fellow of the National Health and Medical Research Council of Australia, Head of the Uterine Biology Division at Prince Henry's Institute of Medical Research and Hon. Professor in the Department of Obstetrics and Gynaecology at Monash University. Her laboratory is internationally recognized for its contributions to our understanding of endometrial remodelling, the mechanisms underlying menstruation and abnormal uterine bleeding, uterine receptivity and embryo implantation. She serves as an Associate Editor for *Biology of Reproduction* and *Reproductive Sciences* and is a Fellow and past President of the Society for Reproductive Biology. She has a major commitment to mentoring young scientists.

Abstract Successful implantation of an embryo into the uterus requires synchrony between the blastocyst and the endometrium. Endometrial preparedness, or receptivity, occurs only for a very short time during the mid-secretory phase of the menstrual cycle in fertile women. Failure to achieve receptivity results in infertility and is a rate-limiting step for IVF success. Frozen embryo transfer in non-stimulation cycles is already improving live birth rates. However, an important tool that is missing in the armoury of reproductive specialists is a means to rapidly assess endometrial receptivity, either during initial assessment or immediately prior to embryo transfer. The development of a wealth of omics technologies now opens the way for identifying potential receptivity markers, although validation of these is still a major issue. This review assesses the current state of the field and the requirements to proceed to a valid clinical test.

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11 KEYWORDS: biomarker validation, endometrium, genomics, proteomics, receptivity, uterine fluid

12 Introduction

13Q2 Implantation of the embryo into the womb is a critical event

14 in establishing pregnancy. The initial steps in implantation

15 must then proceed to formation of a sufficient placenta,

16 with the capacity to support the fetus throughout preg-

17 nancy. From implantation and placental development until

18 the maternal blood supply is tapped towards the end of

the first trimester, there is a continuum of events in which each step depends on the adequacy of the previous one. 19

each step depends on the adequacy of the previous one.
For successful implantation, there must be synchrony of
development between the embryo and the endometrium:
this was first observed in the 1960s during embryo transfer
experiments in animals but also holds for women. The term
applied to the endometrium when it is developmentally
competent for implantation is that it is ''receptive''. The

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27 human endometrium becomes receptive to implantation in each normal menstrual cycle, following ovulation and driven 28 29 by rising concentrations of both oestrogen and progester-30 one; this receptivity lasts for only about 4 days in the 31Q3 mid-secretory phase (Navot et al., 1991a,b). The current understanding of the mechanisms by which these steroids 32 33 function to induce endometrial receptivity is reviewed in 34Q4 an accompanying article in this issue (Young, 2013). At the 35 same time, the embryo, which has gone through consider-36 able development during its passage through the Fallopian 37 tube and entered the uterine cavity as an early blastocyst, 38 is in developmental synchrony with the endometrium. Dur-39 ing other cycle phases, the endometrium is either hostile 40 or non-receptive to a blastocyst and implantation cannot 41 occur. Failure of the endometrium to attain receptivity is one cause of infertility, and this is not currently assessed 42 during infertility workup due to a lack of reliable markers 43 44 for receptivity.

In addition to the normal cyclicity of the endometrium, it 45 is clear that the hormonal treatments used to induce 46 47 multiple ovulations in IVF clinics considerably disturb the 48 endometrium. Premature secretory changes are seen in 49 the post-ovulatory and early secretory phases of IVF cycles, 50 followed by a considerable dys-synchronous glandular and 51 stromal differentiation in the mid-luteal phase (Bourgain 52 and Devroey, 2003; Kolibianakis and Devroey, 2002; Kolibi-53 anakis et al., 2002), resulting in both inadequate receptivity 54 and/or changes in its timing. Importantly, in a recent com-55 prehensive, retrospective study comparing morphological 56 and immunohistochemical features on the day of oocyte 57 retrieval in women in stimulation cycles, those women 58 who became pregnant following embryo transfer in the 59 same cycle had endometrium that was much less disturbed 60 (significantly less alterations in histological endometrial 61 maturation and the numbers and activation status of leuko-62 cyte populations) than that of the women who did not 63 become pregnant (Evans et al., 2012b). The impact of ovar-64 ian stimulation on endometrial receptivity are reviewed in 65 detail in an accompanying article in this issue (Fatemi and Popovic-Todorovic, 2013) Thus it is clear that the abnormal 66 endometrial development seen in IVF cycles, limits the 67 68 potential for implantation of even a developmentally com-69 petent embryo in that cycle. Indeed, pregnancy rates result-70 ing from fresh embryo transfers consistently hover around 71 30% (www.cdc.gov/ART), and a wealth of data (Shapiro et al., 2011, 2013) now supports the contention that 72 73 embryos have a better chance of implantation if they are 74 frozen for subsequent transfer in a natural, unstimulated 75 cycle. Furthermore, there is strong support for research 76 leading to a test for endometrial receptivity that could be 77 used in the clinic.

78 Current practices for embryo transfer

79 The surgical procedure to transfer the embryo into the 80 endometrial cavity is vital to the successful outcome of 81 the IVF treatment. First a speculum is placed in the vagina 82 to visualize and clean the cervix. A transfer catheter is then 83 loaded with the embryo(s) and inserted through the cervical 84 canal into the uterine cavity. The embryos are then 85 expelled approximately 1–2 cm from the uterine fundus. Clinicians can control many variables to optimize the embryo transfer. These include the treatment of lower genital tract infections, correction of cervical stenosis during the fertility work up and the use of transabdominal ultrasound to guide the positioning of the transfer catheter (Mains and Van Voorhis, 2010).

The correct timing of the transfer is also critical for a successful outcome. Fresh or thawed embryos are typically transferred at the cleavage stage (day 2 or 3) or the blastocyst stage (day 5). The embryo transfer day is carefully selected such that the endometrium is in temporal synchrony with the developmental stage of the embryo: e.g. a day-2 or day-5 embryo will be transferred respectively on the second or the fifth day after the endometrium is first exposed to endogenous or exogenous progesterone.

It has been claimed in some studies that a premature rise 101 of progesterone prior to the human chorionic gonadotrophin 102 trigger is associated with a reduced pregnancy rate in 103 stimulated cycles (Bosch et al., 2010; Huang et al., 2012; 104 Ochsenkuhn et al., 2012), presumably because of the 105 advancement of endometrial maturation relative to the 106 developmental stage of the embryo. However, a meta-anal-107 vsis by Venetis et al. (2007) concluded that a premature rise 108 of progesterone was not correlated with pregnancy outcome 109 (see also Fatemi and Popovic-Todorovic, 2013, in this issue). 110

Transvaginal ultrasound assessment of the endometrium 111 can be used to describe the thickness, appearance and 112 blood flow of the endometrium prior to embryo transfer. 113 It is unclear how helpful these assessments are in determin-114 ing whether the endometrium is optimally prepared. A sys-115 tematic review and meta-analysis of 14 studies concluded 116 that there may be a relationship between endometrial 117 thickness and pregnancy, but that implantation potential 118 is more complex than a single ultrasound measurement 119 can determine (Momeni et al., 2011). 120

Clearly, better tests are required to assist the clinician with the decision when to defer a transfer and to freeze all embryos.

What clinicians need

Fertility specialists have for a long time been desperate to125ensure embryos are transferred into a receptive endome-126trium. Access to a reliable endometrial receptivity assay127would have at least three clinically useful applications.128

The first group of women that would benefit are those 129 with a history of repeated IVF failure. Women who have 130 endured numerous failed IVF attempts with good-quality 131 embryos are considered at an increased risk of having an 132 endometrial receptivity disorder. In the absence of good 133 tests to screen for such disorders, the decision to continue 134 with further IVF treatment can be frustrating and difficult. 135 Understanding which part of the dialogue between the 136 embryo and the endometrium is dysfunctional would allow 137 clinicians to better inform patients and to tailor their fur-138 ther treatment more specifically. Such diagnostic testing 139 would occur in a standardized way during the window of 140 implantation in the untreated cycle prior to the next IVF 141 attempt. Repeat testing, especially in a controlled clinical 142 trial setting, may also allow IVF specialists to assess which 143 interventions are effective in optimizing endometrial 144

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receptivity. Women for whom a ''cure'' is not available canbe advised appropriately and offered the option of surro-gacy treatment.

The second application would broaden the use of the 148 assay to all women undergoing IVF treatment. As discussed 149 above, stimulated IVF cycles have a significant impact on 150 151 the morphology (Bourgain and Devroey, 2003; Evans et al., 2012b; Kolibianakis et al., 2002) and the function 152 153 of the endometrium while higher IVF success rates are 154 achieved in women who are high responders to ovarian stimulation, if their frozen embryos are transferred in a 155 subsequent natural cycle (Shapiro et al., 2011, 2013). These 156 findings emphasize that altered hormonal environment in 157 158 stimulated cycles is detrimental to endometrial receptivity. 159 Thus an accurate test with a guick turn-around would allow IVF units to assess, for each patient individually, whether a 160 161 fresh embryo transfer should go ahead or whether the patient should be advised to freeze her embryos. In addi-162 tion to showing that the test is reliable, two conditions 163 would thus need to be met: (i) the sampling (whether uter-164 165 ine lavage or endometrial biopsy) should not lower the chance of a subsequent implantation; and (ii) the assay 166 167 should be able to be completed in the time frame between 168 the sampling and the scheduled embryo transfer. Blastocyst transfers would have the advantage that samples may be 169 taken closer to the opening of the window of implantation, 170 increasing the probability that receptivity defects would be 171 172 detected.

173 The third use of the test would be to broaden its application even further by incorporating it in the standard fertility 174 175 work up. If the test is both reliable and affordable, there is 176 no reason why screening for endometrial receptivity disorders should not occur on a larger scale before treatment 177 starts. Treatment decisions will be influenced by the test 178 results as treatment protocols tailored for endometrial 179 180 receptivity disorders become available. Studies will have 181 to confirm that data obtained in a natural cycle can be extrapolated in a meaningful way to the stimulated cycle. 182

183 **Biomarker discovery and application**

184 The English language has informally adopted the suffix 185 omics to describe the advent of new technologies that enable analyses of broad systems within the study of biol-186 ogy. For example, the term "transcriptomics" applies to 187 the analysis of the full set of the RNA transcribed by a cell 188 or tissue. While omics technologies have been applied to 189 190 biomarker discovery for some two decades, the recent 191 speed of their development is now enabling rapid progress. Both genomic and proteomic approaches have recently 192 yielded potentially clinically useful biomarkers of uterine 193 194 receptivity: these markers are either clearly different between non-receptive and receptive endometrium or dur-195 196 ing the mid-secretory phase in women of proven fertility versus those who have had several failed IVF cycles with 197 198 ''good'' embryos. While some of these biomarkers are already being applied either on a small commercial scale 199 200 or in-house by certain clinics (Garrido-Gomez et al., 2013; 201 Lessey, 2011), large-scale validation across many clinics 202 has not been performed and the sensitivity and specificity 203 of available tests is not known.

Endometrium-specific issues in discovery of biomarkers

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Obtaining appropriate clinical material for studies is 206 exceedingly difficult given the dynamic nature of the endo-207 metrium, in which the cellular and molecular composition 208 changes on a daily basis. Accurate dating of the develop-209 mental stage of the endometrium by histological means is 210 fraught with difficulties (Murray et al., 2004) and will 211 remain subjective until molecular markers are widely avail-212 able. A number of single and combined markers have been 213 described since the late 1990s including molecules as 214 diverse as integrins $\alpha v\beta 3$ (Lessey et al., 2000), nuclear pore 215 proteins (Guffanti et al., 2008) and leukaemia inhibitory 216 factor plus gp130 (Tawfeek et al., 2012). Furthermore, 217 the recently described endometrial receptivity array, the 218 ERA (Diaz-Gimeno et al., 2013), which is claimed to be more 219 accurate than histological dating, suggests that this is now 220 possible, although perhaps not in a suitable format for rou-221 tine pathology use (Lessey, 2013). The availability of such a 222 test indicates that it may now be possible to answer a num-223 ber of important questions, provided the clinical material is 224 available; a limitation not to be underestimated. These 225 questions include: (i) what is the variation within the same 226 women from cycle to cycle, in the timing of the onset of 227 receptivity? (ii) what is the variation from woman to woman 228 in this timing? (iii) does every normal fertile woman achieve 229 endometrial receptivity in every cycle? (iv) which of the bio-230 markers used for defining normal receptivity are altered in 231 women whose infertility is due to a failure to achieve recep-232 tivity? (v) are biomarkers different in women with different 233 reasons for infertility (e.g. endometriosis and unexplained 234 infertility)? and (vi) how dependent is endometrial receptiv-235 ity on egg quality? On this last question, local effects of 236 embryo products (particularly human chorionic gonadotro-237 phin) on the endometrium are well documented (Licht 238 et al., 2001; Paiva et al., 2011) and these appear to promote 239 receptivity by increasing local production of important cyto-240 kines including leukaemia inhibitory factor and vascular 241 endothelial growth factor. Furthermore, egg donation from 242 young to older women has been shown to increase implanta-243 tion rates to those seen in younger women (Navot et al., 244 1991a,b); it may be that these "younger" embryos send 245 stronger local signals to the endometrium. 246

For the discovery phase for biomarkers of uterine recep-247 tivity, the ideal benchmark of normality would be marker 248 concentrations in the mid-secretory phase of naturally 249 250 cycling women of known fertility in their twenties or early thirties when fertility is optimum. A selected cohort of bio-251 marker concentrations would most likely differ significantly 252 from those in non-receptive endometrium of fertile women 253 (proliferative or early-secretory phases) and/or from those 254 in women in whom receptivity is known to be disturbed, 255 such as the many women with endometriosis who are also 256 infertile or women who have undergone multiple transfers 257 of "good" embryos in an IVF programme. Subsequently, 258 potential biomarkers would need to be tested in women 259 presenting with infertility or during their treatment cycles 260 in an IVF setting and be correlated with pregnancy outcomes 261 in the same cycles. The stringent requirements and the 262 substantial numbers of samples required for ''test'' and 263

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264 "validation" sets will require considerable international collaboration. The selection of ''good-quality'' embryos 265 266 for such studies is essential to distinguish between failure due to embryo quality and failure to develop receptive 267 endometrium and is reviewed in this issue by Montag (2013). 268 Another important question is that of the best material 269 270 on which to perform a molecular test. Options are tissue 271 taken at curettage or by biopsy, uterine fluid taken by aspi-272 ration or lavage, blood plasma or serum and urine. While blood and urine are clearly more readily sampled, neither

273 274 are likely to accurately reflect the status of the endome-275 trium. Tissue has been widely used in this context and is rel-276 atively easy to obtain from parous women: however, many 277 of the women needing testing will be nulliparous and access 278 to the uterine cavity may be more difficult. Uterine fluid can 279 be obtained less invasively than tissue but there are issues 280 with consistency of recovery. Standardization is required 281 between clinics and even between clinicians at single clin-282 ics, but this will clearly be difficult to achieve. Once clinical 283 material is obtained, a range of analyses can be performed 284 as outlined in Figure 1. While both genomics and proteo-285 mics have been the topic of a number of publications, lipi-286 domics, glycomics, epigenomics and metabolomics are all 287 very new approaches which offer considerable opportuni-288 ties. For example, measurement of prostaglandins E and 289 $F2\alpha$ (Vilella et al., 2013) has recently been applied to uterine fluid. 290

291 Genomic studies

292 Many global transcriptomic studies of human endometrium 293 have been published in the past decade, providing consider-294 able information on the likely pattern of expression in nor-295 mal receptive endometrium. However, there are many 296 differences between studies in the genes identified to 297 change with receptivity, partly due to the different micro-298 arrays used for analysis and to differences in sample selec-



Figure 1 Potential approaches for discovery of markers for receptivity. Sampling of either endometrial tissue or of uterine fluid must take into account the phase of the cycle and known endometrial disorders. Differential analyses using a range of omics have the potential to provide a wealth of markers for further validation.

tion criteria and conditions. Thus consensus on a molecular 299 signature of receptivity has not yet been achieved. The 300 microarray studies fall into two major categories: those that 301 focus entirely on (i) tissues from women of known fertility 302 (normo-ovulatory women (Borthwick et al., 2003; Carson 303 et al., 2002; Haouzi et al., 2009; Kao et al., 2002; Kuokka-304 nen et al., 2010; Ponnampalam et al., 2004; Riesewijk 305 et al., 2003; Talbi et al., 2006; Tseng et al., 2010) or fertile 306 donors (Mirkin et al., 2005); (ii) those from fertile versus 307 infertile women in the mid-secretory phase (Altmae et al., 308 2010; Koler et al., 2009); (iii) those that compare natural 309 and stimulated cycles (Haouzi et al., 2012; Ruiz-Alonso 310 et al., 2012); or (iv) tissue from women with repeated 311 implantation failure or recurrent miscarriage (Ledee 312 et al., 2011; Othman et al., 2012). The most useful of these 313 studies in terms of discovery of biomarkers, are those com-314 paring non-receptive (proliferative or early secretory phase) 315 with receptive (mid-secretory phase) endometrium. The 316 genes differentially expressed are both up- and down- regu-317 lated in the mid-secretory phase and many relate to known 318 remodelling processes at this time: cell adhesion, metabo-319 lism, response to external stimuli, signalling, immune 320 response and cell communication. As could be anticipated, 321 transcription of genes related to cellular proliferation and 322 development is decreased. The details of these findings 323 have been recently reviewed in detail and tabulated (Haouzi 324 et al., 2012; Ruiz-Alonso et al., 2012) and will not be 325 repeated here. 326

One important limitation leading to the diversity of 327 results in the studies detailed above is that endometrial tis-328 sue biopsies have been used for analysis. Endometrium con-329 tains many cell types including epithelial and stromal cells, 330 cells of the vasculature and leukocytes and there is consid-331 erable heterogeneity of cellular composition across the 332 cycle, particularly in terms of the ratio of glands to stroma 333 and the number of leukocytes. Indeed, microarray analysis 334 of individual cellular compartments obtained by laser cap-335 ture showed that glands and stroma have distinct mRNA sig-336 natures, each dependent on the day of the cycle (Evans 337 et al., 2012a). Use of laser-captured material in the initial 338 discovery phase may be of use to overcome sensitivity 339 issues. For example, SGK1, a kinase important for epithelial 340 ion transport and cell survival, was identified as a potential 341 marker of receptivity only in luminal epithelium (Salker 342 et al., 2011), a minor component of the endometrium that 343 is often lost during sampling and processing. 344

An important recent development from all these studies 345 is the endometrial receptivity array (Diaz-Gimeno et al., 346 2013; Garrido-Gomez et al., 2013), in which 238 genes that 347 are differentially regulated with the endometrial cycle, are 348 customized on a single array. Of these, 134 genes represent 349 a specific transcriptomic signature of the receptive phase. 350 This test is of high specificity (0.8857) and sensitivity 351 (0.9976) for endometrial dating: its ability to correlate 352 molecular data with clinical outcomes is still under 353 investigation. 354

Proteomics

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Proteomics, or the analysis of the proteins in any sample, 356 provides more physiologically relevant information than 357

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358 does genomics, since there are many regulatory steps between the transcriptome and functional proteins: indeed 359 360 correlation between an mRNA and its protein derivative in the endometrium is often low (Burney et al., 2007; 361 Chen ?tul?> et al., 2009; Haouzi et al., 2012). While this is 362 not necessarily important in terms of provision of biomark-363 364 ers, transcriptomic data can be misleading in terms of pro-365 viding leads to function. Proteomic analyses to date, mostly 366 utilizing two-dimensional differential in-gel electrophoresis 367 (2D-DiGE) followed by matrix-assisted desorption/ionization tandem time-of-flight mass spectrometry (MALDI-TOF MS) 368 have identified a number of protein changes between the 369 proliferative and secretory phases (Chen et al., 2009; DeSo-370 371 uza et al., 2005; Parmar et al., 2009) or between pre-recep-372 tive and receptive endometrium (Dominguez et al., 2009; Li et al., 2006). Some of these proteins have been validated by 373 374 other means and immunohistochemistry has confirmed their 375 presence and cellular location in the endometrium. The differentially produced proteins identified by these techniques 376 and subsequently validated are listed in Table 1 and have 377 378 been detailed further in recent reviews (Haouzi et al., 2012; 379 Salamonsen et al., 2012). A major limitation to date has 380 been that the most abundant proteins in the samples are 381 structural and these mask lower abundance regulatory proteins. In addition, gel separation is not ideal for proteins of low molecular weight (<20 kDa). Furthermore, with the development of highly sensitive mass spectrometers, it is now apparent that most protein spots cut from gels represent a number of proteins. Pre-fractionation of samples and application of newer gel-free techniques to such samples is likely to provide many more useful markers in coming years.

Uterine fluid is a protein-rich histotroph that contains, 390 among other components, secretions from the endometrial 391 glands and cleavage products of both the secreted proteins 392 and the glycocalyx (the glycoprotein mucin-rich layer coat-393 ing the endometrial apical cell surface). Importantly, uter-394 ine fluid provides the microenvironment for the final stages 395 of blastocyst development and implantation. Glandular 396 secretions are essential for implantation in both sheep (Grav 397 et al., 2001) and mice (Dunlap et al., 2011; Jeong et al., 398 2010), as demonstrated in animals in which uterine gland 399 development was inhibited during early post-natal life. 400 Given that many of the endometrial molecules known to 401 be important for implantation in women are produced and 402 secreted from the glands (Salamonsen et al., 2009), it is 403 clear that proteomic analysis of uterine fluid should provide 404 biomarkers for endometrial receptivity. However, uterine 405

Table 1Validated proteins of relevance to endometrial receptivity, discovered by proteomic approaches in endometrial tissuesQ7from cycling women of proven fertility.

Annotation	Protein	Comparison	Time of biopsy	Direction of change versus MS ^a	Validation method	Cellular location	Reference
CLIC1_HUMAN	Chloride intracellular channel protein 1	MP versus MS	Days 9–11 versus days 19–23	\downarrow	IHC	Epithelium	Chen et al. (2009)
GDIR1_HUMAN	Rho GDP-dissociation inhibitor 1	MP versus MS	Days 9–11 versus days 19–23	Ţ		Epithelium	Chen et al. (2009)
PGRC1_HUMAN	Membrane-associated progesterone receptor component 1	MP versus MS	Days 9–11 versus days 19–23	Ļ	IHC	Stroma	Chen et al. (2009)
EZRI_HUMAN	Ezrin	MP versus MS	Days 9–11 versus days 19–23	\downarrow	IHC	Epithelium	Chen et al. (2009), Heng et al. (2011)
STMN1_HUMAN	Stathmin 1	Pre- receptive versus receptive	Day LH+2 versus day LH+7	Ļ	IHC, WB	Mostly stroma	Dominguez et al. (2009)
ANXA2_HUMAN	Annexin A2	Pre- receptive versus receptive	Day LH+2 versus day LH+7	Ţ	IHC, WB	Epithelium and stroma	Dominguez et al. (2009)
ANXA4_HUMAN	AnnexinIV	Pre- receptive versus receptive	Day LH+2 versus day LH+7	Ļ	IHC	Epithelium	Li et al. (2006), Chen et al. (2009))
CAL_HUMAN	Calreticulum	MP versus MS		Ŷ	IHC	Epithelium and stroma	Parmar et al. (2009)
AIAT_HUMAN	α1-Antitrypsin	MP versus MS		↑	IHC	Epithelium and stroma	Parmar et al. (2009)

IHC = immunohistochemistry; MP = mid-proliferative; MS = mid-secretory; WB = Western blot. ^aIn each case, fold change > 1.4; \uparrow = increase; \downarrow = decrease.

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Proteomic approaches have started to define the overall 411 412 protein composition of secretory phase uterine fluid (Casa-413 do-Vela et al., 2009) and have examined differences both 414 between proliferative or early secretory phases and the 415 mid-secretory phase (Hannan et al., 2010; Scotchie et al., 2009) and between the secretory phase in fertile and infer-416 417 tile women (Hannan et al., 2010). Casada-Vela identified 418 803 separate proteins in uterine fluid, after applying three 419 different strategies to uterine aspirates; these proteins 420 included many proteins which are found in blood. Whether they are expressed and secreted from within the endome-421 422 trial tissue or transudated from blood needs to be estab-423 lished. Several proteins have been identified as associated with endometrial cyclicity or embryo implantation; mucin 424 1, vitamin D binding protein, apolipoprotein A1, alpha-1 425 426 antitrypsin, matrix metalloproteinase 9 (MMP9) and TIMP1; 427 many of these are also found in blood. It is likely that MMP9 428 at least is derived from the MMP9-positive leukocytes within 429 the fluid. Such cells were removed prior to other analyses of 430 lavage samples (Hannan et al., 2010; Scotchie et al., 2009). 431 Alpha-1 antitrypsin was common to all three studies, being decreased in the mid-secretory phase. Antithrombin III and 432 433 alpha-2-macroglobulin were the only proteins among these 434 cohorts whose expression was further examined in mid-secretory tissue: both were epithelial products indicat-435 436 ing these secretory cells as being their likely source of the 437 fluid proteins. The intensity of epithelial staining was also 438 correlated with their changes in uterine fluid across the 439 cycle and in infertile women, validating the proteomic data. 440 It should be stressed that such laboratory analyses are per-441 formed on a relatively small number of samples: in due 442 course, biomarker candidates will need to be assessed in 443 larger clinical cohorts.

Multiplex analyses have proven useful to address the 444 issue of lower-molecular-weight biological mediators that 445 are not readily detected in gel-based broad proteomic anal-446 447 yses. Simultaneous analysis of up to 42 individual analytes 448 (particularly chemokines and cytokines) in uterine fluid 449 has identified some known and others previously unknown 450 in this setting, that differ depending on the fertility status 451 of the woman (Hannan et al., 2010, 2011) or that are predic-452 tive of pregnancy outcome (Boomsma et al., 2009a,b). One 453 limitation of this technique is the cross-reactivity of the 454 analytes on the array, although this can eventually be over-455 come by use of new well-screened monoclonal antibodies. 456 For example, leukaemia inhibitory factor, an obvious choice 457 for analysis, could not be assayed together with the other components of either multiplex system (Boomsma et al., 458 2009a,b; Hannan et al., 2010). The advantage of multiplex-459 ing is that only very small volumes of fluid are required for 460 461 simultaneous analysis of the many analytes in a relatively 462 short timeframe, making it an excellent platform for biomarker applications. 463

464 A major advantage of uterine fluid over endometrial tis-465 sue for assessment of receptivity is that uterine fluid is 466 readily available by less destructive means than is biopsy. 467 Fluid may be harvested by aspiration (provides only $1-5 \mu$ l total volume) (Boomsma et al., 2009a,b; Casado-Vela 468 et al., 2009) or by lavage with saline solution (Hannan 469 et al., 2011, 2010; Scotchie et al., 2009). Each method 470 presents advantages and disadvantages (Hannan et al., 471 2012). Both have variable recovery and contain some leuko-472 cytes and endometrial cells. While these can and must be 473 removed immediately by gentle centrifugation of the sam-474 ple, they may well have released proteins prior to or during 475 sampling. Importantly, analysis of the same set of eight 476 markers in matched aspirates and lavages clearly demon-477 strated that the rank concentrations of the markers differed 478 between the two fluids, emphasizing that either one or the 479 other method must be selected for analysis and that they 480 cannot be interchanged. At least some of the difference will 481 result from the washing of the uterine surface during the 487 lavage, and the likely dislodging of soluble molecules either 483 loosely bound to or entrapped in the glycocalyx; indeed, 484 lavage provides around 10-fold more protein for analysis 485 than does aspiration. In this study group's hands, the aspi-486 rates often contained blood, which can interfere with 487 subsequent assays. However, aspiration may be the better 488 technique if sampling is to be performed in the same cycle 489 as embryo transfer as it has been proven not to interfere 490 with implantation rates (van der Gaast et al., 2003). 491

It would clearly be preferable to analyse either 492 serum/plasma or urine for a routine and readily applicable 493 test for receptivity. The likelihood of this being successful 494 is not high given the local production of most potential 495 receptivity markers. Indeed, given that very few if any medi-496 ators are specific to the endometrium and that most act in a 497 paracrine or autocrine manner, it is likely that changes in the 498 receptive endometrium would be undetectable or would be **4**99 subject to interference by many physiological events or 500 pathologies, including even mild inflammation. A recent 501 promising development in this regard relates to reports that 502 circulating micro-RNA (miRNA), derived from the endome-503 trium, are detectable in peripheral blood. Concentrations 504 of selected miRNA could provide non-invasive diagnostic 505 information regarding endometrial function and are an 506 active area of research (Hull and Nisenblat, 2013, in this 507 issue). 508

Validation

For a handful of identified potential receptivity markers, 510 the authors have provided evidence of their endometrial 511 expression, in the form of immunohistochemistry, primary 512 cell culture and, in some cases, immunoassay of small 513 cohorts of individuals. While this provides some supporting 514 evidence to further pursue these markers, it remains for 515 any of these potential markers to be assessed across exten-516 sive cohorts of well-defined women. 517

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Proteomic studies to date of either tissue (Brosens et al., 518 2010; Dominguez et al., 2009) or flushing/lavage (Casa-519 do-Vela et al., 2009; Hannan et al., 2009; Parmar et al., 520 2008) have provided extensive lists of proteins generated 521 and secreted by the endometrium. Some authors have ana-522 lysed individual samples while others have elected to utilize 523 sample pooling. Commonly however, they have all used only 524 small sample sets of between 3 and 15 per group for 525 analysis. Thus, the results must always be regarded with 526

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527 some caution since, with the small cohorts of samples used 528 in the analyses, the risk of a type I error is significant. 529 Indeed this is highlighted by Dominguez et al. (2009) when comparing tissue from ovum donors on days LH+2 and LH+7. 530 531 In duplicate experiments, using separate pools each comprising three individuals, only two proteins were common 532 533 to the two data sets generated. This highlights the need for independent validation of proposed markers whether 534 535 they have originated as a result of proteomic studies or 536 through other methodologies.

537 Paramount to validating a biomarker for clinical use is the need to clearly define just how it will be used. Selected 538 cohorts and technologies must be appropriate and applica-539 540 ble to clinical needs. As discussed above, a receptivity test 541 may find wide applicability as a screening test for all infer-542 tile women during their work up to establish their ability to 543 generate a receptive endometrium. This situation would not require a rapid assay; however, the alternative scenario of 544 testing receptivity as a decision point on whether to use 545 fresh or frozen embryo transfer would require a guicker test 546 547 result. Although many current analyses (e.g. ELISA), require 548 hours as opposed to minutes, advances in point of care assay 549 technologies may afford the luxury of testing within minutes 550 of a planned embryo transfer. However, this would require a 551 clear margin between receptive and non-receptive data. 552 The positive and negative predictive value of the receptivity 553 test will also change with the prevalence of receptivity dis-554 orders in the population being tested. As such, a diagnostic 555 test that is reliable for patients with repeat implantation failure may not be an appropriate test as part of the initial 556 557 work up of all infertile women.

558 Any validation cohort must also reflect the final test 559 application. If the test is to be used for IVF cycle testing, 560 It is essential that this group is included in such validation, 561 since the morphology of an IVF cycle endometrium is greatly changed from that of a natural cycle. Validation must also 562 563 consider potential interferences. It is essential that valida-564 tion cohorts do not exclude conditions which are commonly present in the potential patient group (e.g. fibroids, mild 565 566 endometriosis), but rather includes them and identifies 567 any potential patient groups which must be excluded. The 568 control cohort is also problematic, given that to date there 569 is no evidence that fertile women achieve receptivity in every cycle. Thus the use of women of proven fertility is 570 571 questionable, particularly since it may be a number of years since that woman last conceived. Given such issues, full 572 characterization and validation of a receptivity test could 573 be problematic. However, the nature of the target does 574 575 afford prospective testing (successful versus unsuccessful 576 embryo transfer), since samples and outcomes are available 577 within a relatively short timeframe.

578 Final validation studies must incorporate sufficient samples to provide robust evidence of utility, to enable deter-579 mination of sensitivity and specificity and to provide clear 580 evidence of assay performance (e.g. precision, accuracy 581 582 and importantly external validity). Multi-site collection 583 and performance are paramount to proving robustness of any test. Indeed, as highlighted above, sampling techniques 584 585 can be variable. Biopsies provide mixed cell types in differ-586 ent proportions, while flushings/lavage are subject to blood 587 and antiseptic contamination and volume issues. The latter 588 may be overcome by use of analyte ratios to normalize for sample volume variation, given the current absence of any defined control marker whose expression is unchanged across the cycle and between women.

It is not likely that a single biomarker will suffice to identify receptive endometrium due to the complexity both of 593 infertility and of individuality. It is most likely that a 594 multiple marker panel approach will emerge and afford per-595 sonalized treatment of individual woman in IVF clinics. In 596 2009, the US Food and Drug Administration approved the 597 first in-vitro diagnostic multivariate index assay (IVDMIA); 598 others have followed for application in disorders including 599 ovarian and breast cancer and pre-eclampsia. An IVDMIA 600 allows the utilization of multiple biomarkers whose com-601 bined diagnostic efficiency is greater than any of their indi-602 vidual performances. Multiple marker panels may be applied 603 to a range of platforms including the antibody-based multi-604 plex or a mass spectrometer. While the use of multiple 605 markers has been practised by physicians for many years, 606 the complex computational modelling of the IVDMIA sets it 607 apart. The technical and regulatory aspects of the applica-608 tion of multiple marker diagnostics are reviewed elsewhere 609 (Ellington et al., 2009; Rodriguez et al., 2010; Zhang, 2012). 610 While these tests provide improvement beyond the perfor-611 mance of any single analyte on offer, there are important 612 additional validation requirements to consider. These 613 include potential cross interference between analytes in 614 platforms such as multiplex ELISA and a requirement for 615 multiple site participation to eliminate potential artefac-616 tual patterns due to specific sampling procedures. However, 617 the primary difference is the greater sample numbers 618 required to provide both a "modelling" or "learning" sam-619 ple set to provide a diagnostic signature and a "validation" 620 set for testing performance of the signature. 621

Future directions

It is increasingly clear that single biomarkers are not likely 623 to exist or to be widely useful in most clinical contexts, 624 since the complexity of any disease or specific physiological 625 state precludes its identification by a single biomarker. 626 Indeed, scepticism over single markers has been expressed 627 by many regulatory agencies and clinicians. The most useful 628 overall strategy and one that certainly applies to endome-629 trial receptivity is that a cohort of markers will be required 630 in spite of the difficulties this represents in terms of 631 validation. 632

Although there are thought to be some 23,000 genes in 633 the human genome, this pales in comparison with the size 634 and diversity of the proteome, which is predicted to be 635 more than 1 million proteins (Walsh et al., 2005). Further-636 more, each primary gene product is likely to exist as the 637 combinatorial sum of a number of post-translationally mod-638 ified forms (Seo et al., 2008) of which >200 have been iden-639 tified. While the most studied post-translationally modified 640 form is reversible post-translational phosphorylation, pro-641 tein lysine acetylation, ubiquitination and SUMOylation are 642 also common (Thelen and Miernyk, 2012). With particular 643 respect to endometrial proteins, glycosylation, which 644 potentially presents a myriad of different forms of a pro-645 tein, is very common as shown by the "trains" of spots seen 646 on two-dimensional gels. Indeed, in a study on endometri-647

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648 osis, one protein examined differed in only one of a number of glycoforms (Stephens et al., 2010). The rapidly develop-649 650 ing field of glycomics is therefore highly applicable to bio-651 marker discovery programmes. Proteins can also be modified by enzymatic cleavage resulting in activation, 652 altered specificity, potency or function. Proprotein conver-653 tases and MMP, both found in the endometrium (Freyer 654 655 et al., 2007) and in the uterine cavity, are highly effective 656 in such post-translationally modified forms.

The analysis of lipids, or lipidomics, is another approach that has been largely ignored until recently (Simon, 2012). While the lipids studied to date in uterine fluid are the prostaglandins E and F2 α , which have known roles in implantation (Sordelli et al., 2012; Wang and Dey, 2005), a more global approach to lipid analysis of endometrium, could provide additional biomarkers.

664 Regardless of the biomarker cohorts selected to identify 665 a receptive endometrium, all will require broad validation before their clinical utility can be proven. Such validation 666 should include: (i) large cohorts of patients of normal fertil-667 ity, so as to understand and quantify a "normal range" of 668 669 variation, whether there is race- or age-related variation; 670 (ii) further testing of markers that apparently distinguish 671 receptive or non-receptive endometrium, in additional clinical cohorts to ensure their reproducibility between differ-672 ent clinical and laboratory settings; (iii) prospective 673 studies to determine whether the biomarkers can be used 674 to inform clinicians in IVF or other settings; for example, 675 676 whether they are beneficial in decision making as to 677 whether to proceed to embryo transfer in a retrieval cycle or rather to freeze for later transfer; and (iv) assessment 678 679 in women with different underlying causes (e.g. endometri-680 osis or not) as biomarker efficacy may differ in such cohorts.

In the longer term, a point-of-care diagnostic with rapid turnaround of results will be needed if embryos are to be transferred in the cycle of testing. Ideally this will be a day LH+2 (or earlier) test that predicts that the endometrium will become receptive in this cycle. Such development will need to follow the identification and validation of markers.

688 Conclusion

While a number of potential biomarkers of endometrial 689 690 receptivity are now available, international collaboration 691 will be required to sufficiently validate the optimum cohort 692 of these and to bring a robust test to the marketplace and 693 the clinic. Sampling techniques will need to be simplified 694 and widely applicable. Proof will be required of the impact 695 of receptivity testing on clinical practice, on how infertility 696 is assessed and on outcomes of IVF cycles in which decision 697 making has been informed by such a test. For major impact, the test will need to be provided as an in-clinic platform 698 699 that provides the results quickly. However, given the rapidly 700 increasing numbers of couples presenting with infertility, 701 there is a strong imperative to deliver.

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